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2827A  
PATENT

ATTORNEY DOCKET NO. 728-189 (YOR9-2000-0756)

In re application of: HSU et al.

Serial No.: 09/769,080

Filed: January 25, 2001

For: **METHOD AND APPARATUS FOR GLOBALLY  
ALIGNING THE FRON AND BACK SIDES  
OF A SUBSTRATE**

Group: Art Unit 2827

Examiner: Chambliss, Alonso

Date: August 23, 2002

Box Fee  
Commissioner for Patents  
Washington, D.C. 20231

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**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.

A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.

No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY		
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE OR	RATE	ADDIT. FEE
TOTAL	34	MINUS 32	= 2	X 9	\$	X 18	\$ 36
INDEP.	2	MINUS 4	= 0	X 44	\$	X 84	\$ 0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			X 135	\$	X 280	\$ 0	
				TOTAL	OR TOTAL		\$ 36
				ADDITIONAL FEE	\$36.00		

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".

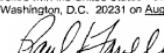
\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Box Fee, Commissioner for Patents, Washington, D.C. 20231 on August 23, 2002.

Dated: August 23, 2002

  
Paul J. Farrell

Please charge Deposit Account No. 50-0510/IBM(Yorktown Heights) in the amount of \$36.00. Two (2) copies of this sheet are enclosed.

A check in the amount of \$0 is enclosed.

Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM(Yorktown Heights). Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM(Yorktown Heights) therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,

**DILWORTH & BARRESE, LLP**  
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Paul J. Farrell  
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